

## CASE SUMMARY

Case Number **SACR17-00061**  
U.S.A. v. James ChenDefendant Number 1  
Year of Birth 1969☐ Indictment ☒ Information Investigative agency (FBI, DEA, etc.) DCIS/FBI**NOTE: All items are to be completed. Information not applicable or unknown shall be indicated as "N/A."****OFFENSE/VENUE**

a. Offense charged as a :

☐ Class A Misdemeanor ☐ Minor Offense ☐ Petty Offense  
☐ Class B Misdemeanor ☐ Class C Misdemeanor ☒ Felonyb. Date of Offense January-June 2015

c. County in which first offense occurred

Orange

d. The crimes charged are alleged to have been committed in:

CHECK ALL THAT APPLY

☒ Los Angeles ☐ Ventura  
☒ Orange ☐ Santa Barbara  
☐ Riverside ☐ San Luis Obispo  
☐ San Bernardino ☐ Other \_\_\_\_\_Citation of Offense 18 U.S.C. Sec. 1347

e. Division in which the MAJORITY of events, acts, or omissions giving rise to the crime or crimes charged occurred:

☒ Western (Los Angeles, San Luis Obispo, Santa Barbara, Ventura)  
☐ Eastern (Riverside and San Bernardino) ☐ Southern (Orange)**RELATED CASE**Has an indictment or information involving this defendant and the same transaction or series of transactions been previously filed and dismissed before trial? ☒ No ☐ YesIF YES Case Number N/A

Pursuant to General Order 16-05, criminal cases may be related if a previously filed indictment or information and the present case:

- a. arise out of the same conspiracy, common scheme, transaction, series of transactions or events; or
- b. involve one or more defendants in common, and would entail substantial duplication of labor in pretrial, trial or sentencing proceedings if heard by different judges.

Related case(s), if any: **MUST MATCH NOTICE OF RELATED**CASE N/A**PREVIOUSLY FILED COMPLAINT**A complaint was previously filed on: N/ACase Number N/ACharging N/AThe complaint: ☐ is still pending☐ was dismissed on: N/A**PREVIOUS COUNSEL**Was defendant previously represented? ☐ No ☐ YesIF YES, provide Name: N/APhone Number: N/A**COMPLEX CASE**

Are there 8 or more defendants in the Indictment/Information?

☐ Yes\* ☒ No

Will more than 12 days be required to present government's evidence in the case-in-chief?

☐ Yes\* ☒ No

\*AN ORIGINAL AND 1 COPY (UNLESS ELECTRONICALLY FILED) OF THE NOTICE OF COMPLEX CASE MUST BE FILED AT THE TIME THE INDICTMENT IS FILED IF EITHER "YES" BOX IS CHECKED.

**Superseding Indictment/Information**IS THIS A NEW DEFENDANT? ☐ Yes ☒ NoThis is the N/A superseding charge, i.e. 1st, 2nd.The superseding case was previously filed on: N/ACase Number N/A

The superseded case:

☐ is still pending before Judge/Magistrate JudgeN/A☐ was previously dismissed on N/A

Are there 8 or more defendants in the superseding case?

☐ Yes\* ☐ No

Will more than 12 days be required to present government's evidence in the case-in-chief?

☐ Yes\* ☐ No

UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

CASE SUMMARY

Was a Notice of Complex Case filed on the Indictment or Information?

☐ Yes ☒ No

\*AN ORIGINAL AND 1 COPY OF THE NOTICE OF COMPLEX CASE MUST BE FILED AT THE TIME THE SUPERSEDING INDICTMENT IS FILED IF EITHER "YES" BOX IS CHECKED.

Is an interpreter required? ☐ YES ☒ NO

IF YES, list language and/or dialect:

N/A

OTHER

☒ Male ☐ Female

☒ U.S. Citizen ☐ Alien

Alias Name(s) N/A

This defendant is charged in: ☒ All counts

☐ Only counts: N/A

☐ This defendant is designated as "High Risk" per 18 USC § 3146 (a)(2) by the U.S. Attorney.

☐ This defendant is designated as "Special Case" per 18 USC § 3166 (b)(7).

Is defendant a juvenile? ☐ Yes ☒ No

IF YES, should matter be sealed? ☐ Yes ☐ No

The area of substantive law that will be involved in this case includes:

- |   |   |
|---|---|
| <input type="checkbox"/> financial institution fraud        | <input type="checkbox"/> public corruption    |
| <input type="checkbox"/> government fraud                   | <input type="checkbox"/> tax offenses         |
| <input type="checkbox"/> environmental issues               | <input type="checkbox"/> mail/wire fraud      |
| <input type="checkbox"/> narcotics offenses                 | <input type="checkbox"/> immigration offenses |
| <input type="checkbox"/> violent crimes/firearms            | <input type="checkbox"/> corporate fraud      |
| <input checked="" type="checkbox"/> Other health care fraud |   |

CUSTODY STATUS

Defendant is **not** in custody:

a. Date and time of arrest on complaint: n/a: surrender

b. Posted bond at complaint level on: N/A

in the amount of \$ N/A

c. PSA supervision? ☐ Yes ☐ No

d. Is on bail or release from another district:

N/A

Defendant is **in** custody:

a. Place of incarceration: ☐ State ☐ Federal

b. Name of Institution: N/A

c. If Federal: U.S. Marshal's Registration Number: N/A

d. ☐ Solely on this charge. Date and time of arrest:

N/A

e. On another conviction: ☐ Yes ☐ No

IF YES: ☐ State ☐ Federal ☐ Writ of Issue

f. Awaiting trial on other charges: ☐ Yes ☐ No

IF YES: ☐ State ☐ Federal AND

Name of Court: N/A

Date transferred to federal custody: N/A

This person/proceeding is transferred from another district pursuant to F.R.Cr.P. 20 21 40

EXCLUDABLE TIME

Determinations as to excludable time prior to filing indictment/information. EXPLAIN: N/A

Date 06/16/2017

/s/

Signature of Assistant U.S. Attorney

Mark Aveis

Print Name